

National Chiao-Tung University
Department of Management Science

Regulations for Students to Study in the Direct Ph.D. Track

Approved January 9, 2008, by the 2nd Departmental Affairs Meeting, fall semester, academic year 2007-08

I. Regulations stated herein are proposed in accordance with the *Policies for Students to Study in the Direct Ph.D. Track* of the Ministry of Education (MOE) and the *Regulations for Students to Study in the Direct Ph.D. Track* of National Chiao Tung University (NCTU)."

II. Admission

To be eligible to apply for the direct Ph.D. track of National Chiao Tung University, the following requirements are applied:

- A. A graduating college student (including the student of early graduation) must meet one of the following criteria:
1. Holds an average score of 85 or above in the undergraduate study and presents outstanding research potential.
 2. Academically ranked in the top 10 percent of the student's graduating class and presents outstanding research potential.
 3. Has been assessed by the institute/department as a student with excellent academic performance and possesses outstanding research potential.
- B. A master's student must be ranked in the top one third of the student's graduating class, present outstanding research potential, or achieve outstanding performance (such as publish academic papers) confirmed by the institute/department.

Applicants for the direct Ph.D. track of the Department of Management Science (DMS, hereafter the Department) must be recommended by at least two DMS faculty members who have associate professor ship or above.

The applicant's research potential should be detailed in the recommendation letters.

- III. Applicants should submit the following materials by the application deadline announced by the Department: a completed application form, at least two recommendation letters, the final transcript of study (including class ranking), publications or inventions if applicable, or other documents which will benefit the student in the screening process.
- IV. The quota of students admitted to the direct Ph.D. track each year is limited to 40% (rounded up to the nearest whole digit) of the total number of Ph.D. students approved by the MOE for the year and shall count towards the total number approved as well. If the total number of Ph.D. students approved by the MOE is less than five, then there will be at most two students who can be admitted to study in the direct Ph.D. track.
- V. The Ph.D. Program Committee will process the screening of the applicants for the direct Ph.D. track; the results should be confirmed by the College of Management and then sent to the

Office of Academic Affairs to be approved by NCTU President before the school begins.

- VI. Students admitted to the direct Ph.D. track are regarded as the first-year doctoral students who should abide by the regulations stated in the *Policies and Procedures of the Ph.D. Program* of the Department.

Students admitted to the direct Ph.D. track cannot apply for the deferred enrollment.

Graduating college students admitted to the direct Ph.D. track must have obtained their bachelor degree before the school begins or their admission will be cancelled.

- VII. If the students admitted during summer break don't reach the quota of students to the direct Ph.D. track, the Department may accept applicants in winter break under the following conditions. The Ph.D. Program Committee should complete the screening process for applicants before the spring semester begins and submit the results to get the approval of NCTU President as soon as possible and let the admitted students complete the enrollment for the Ph.D. program within two weeks after school begins and change their student status.

The number of students admitted in winter break is limited to the difference to the quota approved for the direct Ph.D. track for that academic year.

- VIII. A master's student who is admitted to the direct Ph.D. track but who terminates their study for the doctoral degree or fails the Ph.D. qualifying examination may return to the original master's program upon approval by the current Ph.D. program, the original master's program, and NCTU President. The student's record of study period in the direct Ph.D. track will not count towards the limit of the master's program. S/he will return to the previous status as a master's student before entering the Ph.D. program and continue studying for the master's degree. If the student transfers back to the master's program in the middle of a semester, then the semester will be regarded as a part of his/her master's study.
- IX. A student who studies in the direct Ph.D. track with a bachelor's degree but who terminates their study for the doctoral degree or fails the Ph.D. qualifying examination may apply for admission of master's programs of related departments/institutes. Upon approval by such a department/institute, the current Ph.D. program, and NCTU President, the student may transfer to the master's program of the department/institute. The student's record of study period in the direct Ph.D. track will not count towards the limit of the master's program. If the student transfers to the master's program in the middle of a semester, then the semester will be regarded as the first semester of his/her master's study.
- X. The status change of the student who has been approved to transfer from the direct Ph.D. track to a master's program should be informed to NCTU Life Guidance Division by the Office of Academic Affairs.

Students who transfer back or to a master's program are not allowed to apply for the direct Ph.D. track again.

- XI. A student who completes all the academic requirements and passes the Ph.D. qualifying examination in the direct-Ph.D. track but fails the degree examination can be granted a master's degree if the dissertation committee agrees that his/her dissertation meets the standards of a master's thesis.
- XII. The aforementioned regulations are approved by the Departmental Affairs Meeting, confirmed by the College of Management, and validated by the Academic Affairs Meeting of the university. The same procedure will be applied for future revisions.

